

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



TraCS Discussion Inspection: August 2020

Inspection Report# BI2020-0118

The Audits and Inspections Unit (AIU) of the Sheriff’s Office Bureau of Internal Oversight (BIO) will conduct monthly inspections of the TraCS Discussion of traffic stops to ensure compliance with Office Policies, promote proper supervision and support the requirements set forth in the Court Order. Inspectors will utilize the TraCS System and a sample of randomly selected employees from each district/division for review. The TraCS Traffic Stop Discussion will be uniformly inspected utilizing a matrix developed by the AIU, in accordance with the procedures outlined in policies EA-11, EB-1, GB-2 and MCSO Administrative Broadcast 16-56.

Compliance Objectives:

- Determine what district/division the traffic stop data originated from
- Verify the supervisor individually discussed each traffic stop completed within a monthly time frame
- Each Traffic Stop inspected will be counted as one inspection

Criteria:

MCSO Policy EA-11, *Arrest Procedures (Section 14, Part E)*

MCSO Policy EB-1, *Traffic Enforcement, Violator Contacts, and Citation Issuance (Section 17)*

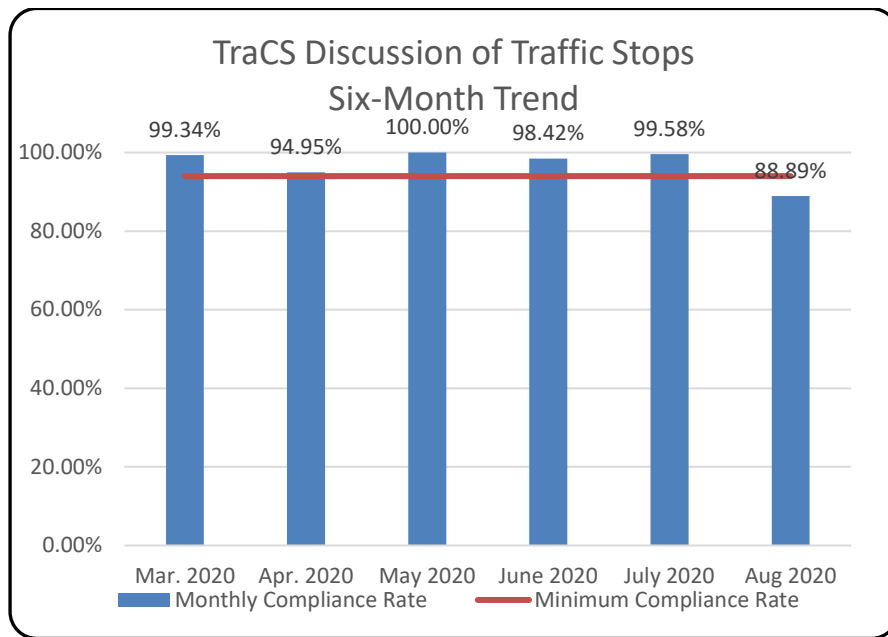
MCSO Policy GB-2, *Command Responsibility (Section 13, Parts E.1.a, E.1.b and E.1.c)*

MCSO Administrative Broadcast # 16-56, *Discussed with Deputy Indicator and Supervisor Review Process*

Conditions:

MCSO’s assigned Court Monitors provided a sample of 37 Deputies from all Patrol Districts/Divisions for the Discussion Inspection covering data from August 2020. The sample of 37 Deputies provided a total of 288 traffic stops available for inspection; all 288 (or 100%) of the traffic stops were inspected.

MCSO achieved a compliance rate of **88.89%** in the Discussion of Traffic Stops for the month of August 2020, as illustrated in the table below:



Note – The compliance rate is the overall percentage of all traffic stops inspected for the month of August 2020 (i.e., out of a total of 288, 256 were without deficiencies, or 88.89% in compliance).

The compliance rate for each division is illustrated in the table below:

Division	District 1	District 2	District 3	District 4	Lake Patrol	District 6	District 7
In Compliance	40	5	57	67	25	29	33
Total Stops	45	6	57	67	49	29	35
Compliance %	88.89%	83.33%	100%	100%	51.02%	100%	94.29%

It should be noted that the completion of a Discussion Inspection is dependent on when AIU receives the sample from the Court Monitors.

The following deficiencies were noted during this inspection period:

District One

District/Division	MC Incident #	Employee	Current Supervisor	Current Commander
District 1	MC20156418	Sergeant	Lieutenant	Captain
District 1	MC20159838	Sergeant	Lieutenant	Captain
District 1	MC20168554	Sergeant	Lieutenant	Captain
District 1	MC20168790	Sergeant	Lieutenant	Captain
District 1	MC20169996	Sergeant	Lieutenant	Captain
Deficiency				
Supervisor did not discuss the Vehicle Stop Contact Form (VSCF) with the Deputy within 30 days, as per requirements of Polices EB-1, GB-2 & Administrative Broadcast # 16-56 (i.e., traffic stop lacked a time & date stamp indicating that the supervisory discussion had been completed). Supervisor did not have any previous TraCs Discussion deficiencies in the last twelve-month period.				

District Two

District/Division	MC Incident #	Employee	Current Supervisor	Current Commander	
District 2	MC20168162	Sergeant	Lieutenant	Captain	
Deficiency					
Supervisor did not discuss the Vehicle Stop Contact Form (VSCF) with the Deputy within 30 days, as per requirements of Polices EB-1, GB-2 & Administrative Broadcast # 16-56 (i.e., traffic stop lacked a time & date stamp indicating that the supervisory discussion had been completed). This supervisor did not have any previous Tracs Discussion Deficiencies during the last twelve-month period.					
Assigned District Where Deficiency Occurred	Currently Assigned District	MC Incident #	Employee	Current Supervisor	Current Commander:
District 7	District 2	MC20154737	Sergeant	Lieutenant	Captain
District 7	District 2	MC20169067	Sergeant	Lieutenant	Captain
Deficiency					
Supervisor did not discuss the Vehicle Stop Contact Form (VSCF) with the Deputy within 30 days, as per requirements of Polices EB-1, GB-2 & Administrative Broadcast # 16-56 (i.e, traffic stops lacked a time & date stamp indicating that the supervisory discussion had been completed). This is the second deficiency of the same type for this Supervisor (Reference: TraCs Discussion Inspection for March # BI2020-0050). NOTE: These deficiencies occurred while the employee was assigned to District Seven.					

District Five

District/Division	MC Incident #	Employee	Current Supervisor	Current Commander
Lake Patrol	MC20160709	Sergeant	Lieutenant	Captain
Lake Patrol	MC20162738	Sergeant	Lieutenant	Captain
Lake Patrol	MC20163086	Sergeant	Lieutenant	Captain
Lake Patrol	MC20163103	Sergeant	Lieutenant	Captain
Lake Patrol	MC20163465	Sergeant	Lieutenant	Captain
Lake Patrol	MC20164637	Sergeant	Lieutenant	Captain
Lake Patrol	MC20165024	Sergeant	Lieutenant	Captain
Lake Patrol	MC20165130	Sergeant	Lieutenant	Captain
Lake Patrol	MC20165318	Sergeant	Lieutenant	Captain
Lake Patrol	MC20165669	Sergeant	Lieutenant	Captain
Lake Patrol	MC20169089	Sergeant	Lieutenant	Captain
Lake Patrol	MC20169113	Sergeant	Lieutenant	Captain
Lake Patrol	MC20169129	Sergeant	Lieutenant	Captain
Lake Patrol	MC20169242	Sergeant	Lieutenant	Captain
Lake Patrol	MC20169280	Sergeant	Lieutenant	Captain
Lake Patrol	MC20169707	Sergeant	Lieutenant	Captain
Lake Patrol	MC20169778	Sergeant	Lieutenant	Captain
Lake Patrol	MC20169787	Sergeant	Lieutenant	Captain
Lake Patrol	MC20169857	Sergeant	Lieutenant	Captain
Lake Patrol	MC20170365	Sergeant	Lieutenant	Captain
Lake Patrol	MC20170373	Sergeant	Lieutenant	Captain
Lake Patrol	MC20170390	Sergeant	Lieutenant	Captain
Lake Patrol	MC20170496	Sergeant	Lieutenant	Captain
Lake Patrol	MC20170550	Sergeant	Lieutenant	Captain

Deficiency

Supervisor did not discuss the Vehicle Stop Contact Form (VSCF) with the Deputy within 30 days, as per requirements of Polices EB-1, GB-2 & Administrative Broadcast # 16-56 (i.e, traffic stop lacked a time & date stamp indicating that the supervisory discussion had been completed). This supervisor did not have any TraCs Discussion deficiencies during the last twelve- month period.

Action Required:

With the resulting **88.89%** compliance rate for Inspection #BI2020-0118, 5 BIO Action Forms are required.

Date Inspection Started: 10/19/2020
Date Completed: 11/09/2020
Timeframe Inspected: August 1st – 31st, 2020
Assigned Inspector(s): Patty Huling # B3184

I have reviewed this inspection report.

Lt. Todd Brice

Lieutenant Todd Brice # S1767
Commander, Audits & Inspections Unit
Bureau of Internal Oversight

11-30-20

Date