

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



Passenger Contact Inspection: December 2021

Inspection Report # BI2021-0172

The Audits and Inspections Unit (AIU) of the Sheriff's Office Bureau of Internal Oversight (BIO) conducts passenger contact inspections on a monthly basis to ensure proper supervision, adherence with MCSO policies, compliance with the Melendres Order and established local and federal law. A total of 35 traffic stops are selected each month for review. To achieve inspection results the inspector will utilize the Vehicle Stop Contact Form, Citation/Warning, Incidental Contact Receipt, CAD printout, JWI Printout (if applicable) AIU-designed Matrix, Inspection Report Template Form, and Body Worn Camera footage files.

Inspection BI2021-0172 examines traffic stops with passenger contact documented on the Vehicle Stop Contact Forms contained in the TraCS database, for the time period of 12/1/2021 to 12/31/2021. To accomplish this task TraCS data was examined for those traffic stops with passenger contact documented on the Vehicle Stop Contact Forms for this period. These stops have been uniformly inspected utilizing a matrix developed by the Audits and Inspections Unit in accordance with the procedures outlined in policy and the AIU Operations Manual. In the inspection, a "reasonable person" standard will be utilized by inspectors.

Compliance Objectives:

- Did the deputy identify any passenger(s) by name, DOB, or ask investigative questions?
- Did the deputy complete a citation, warning or incidental contact form for each individual who was identified by name, DOB, or asked investigative questions?
- Did the deputy provide a copy of the citation, warning or incidental contact form to each individual who was identified by name, DOB, or ask investigative questions?
- If a passenger was contacted, did it cause the stop to be extended longer than necessary, if yes was the reason indicated on the VSCF?

Criteria:

- MCSO Policy EA-11, Arrest Procedures
- MCSO Policy EB-1, Traffic Enforcement, Violator Contacts, and Citation Issuance
- MCSO Policy EB-2, Traffic Stop Data Collection
- MCSO Policy GJ-3, Search and Seizure
- MCSO Policy GJ-35, Body-Worn Cameras

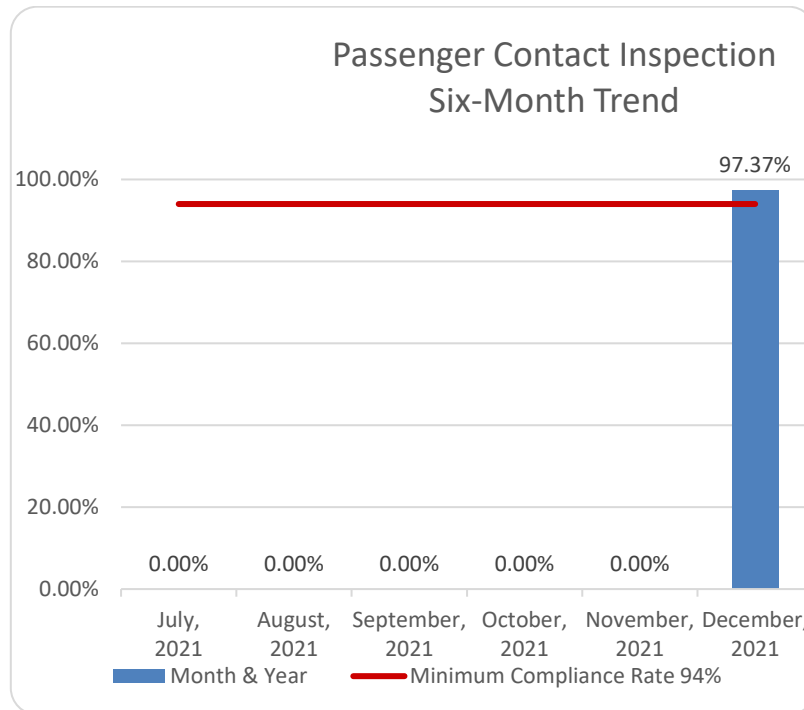
Conditions:

The MCSO reviewed 36 randomly selected traffic stops made during the month of December 2021 with passenger contact documented on the Vehicle Stop Contact Forms. The additional traffic stop is a result of mathematical rounding. Additionally, while 10 of the 35 are to be selected for Body-Worn Camera review, the rounding in this instance required 12 of the 35 be selected for Body-Worn Camera review. (It should be noted, the inspector viewed 2 additional videos for clarification purposes, for a total of 14 traffic stops selected for Body-Worn Camera review.)

Results:

Each traffic stop will be counted as one inspection and all applicable matrix criteria inspection points will factor into an over-all compliance score. In this inspection each traffic stop utilized four matrix criteria inspection points for a total of 144 potential matrix criteria inspection points. From the total of 144 potential inspection points those determined to be Not Applicable (N/A) or not verifiable will be removed from the overall compliance percentage. For example, this month there were 15 inspection points determined to be N/A and 15 inspection points that were not verifiable, resulting in 114 total inspection points.

The compliance rates of the provided traffic stop sample, utilizing the four matrix criteria inspection points, resulted in an overall average compliance rate of **97.37%** for December of 2021, as illustrated in the graph below.



Note: The six-month trend shows 0% for the previous five months because this is the first month for this report.

Inspection Elements	Yes	No	Not Verifiable	N/A	Total Inspected	Compliance Rate
Did the deputy identify any passenger(s) by name, DOB, or ask investigative questions?	24	12	0	0	36	N/A
Did the deputy complete a citation, warning or incidental contact form for each individual who was identified by name, DOB, or asked investigative questions?	33	3	0	0	36	91.67%
Did the deputy provide a copy of the citation, warning or incidental contact form to each individual who was identified by name, DOB, or ask investigative questions?	18	0	15	3	18	100.00%
If a passenger was contacted, did it cause the stop to be extended longer than necessary, if yes was the reason indicated on the VSCF?	0	24	0	12	24	100.00%
Overall Compliance with inspection requirements	75	39	15	15	114	97.37%

Conclusion:

Of the potential 144 matrix criteria inspection points there 114 inspection points that were used to determine the compliance ration. There were 3 deficiencies, resulting in a 97.37% compliance rate for December 2021. **It should be noted, as this is a newer inspection, no BIO action forms will be issued for this inspection for deficiencies identified.**

Action Required:

AIU will provide the inspection report to the affected Divisions to address the following stops and non-compliance deficiencies via the Bio Action Form Process: **no BIO action forms will be issued for this inspection for deficiencies identified, as noted above.**

District 1:

Compliance Deficiency

District	MC#	Employee	Supervisor	Commander
1	MC21232769	Deputy	Sergeant	Captain
Deficiency				
Deputy did not complete a citation, warning, or incidental contact form for each individual who was identified by name, DOB, or asked investigative questions. (Policy EB-1.12)				

Note: This traffic stop occurred in District 7

District 4:

Compliance Deficiency

District	MC#	Employee	Supervisor	Commander
4	MC21221143	Deputy	Sergeant	Captain
4	MC21224845	Deputy	Sergeant	Captain
Deficiency				
Deputy did not complete a citation, warning, or incidental contact form for each individual who was identified by name, DOB, or asked investigative questions. (Policy EB-1.12)				

Date Inspection Started: 1/7/2022
 Date Completed: 1/31/2022
 Timeframe Inspected: 12/1/2021 – 12/31/2021
 Assigned Inspector(s): Auditor Dave Paul B5459

I have reviewed this inspection report.

Lt. Brian Arthur S1806

Lieutenant T. Brian Arthur, S1806
 Commander
 Audits and Inspections Unit

3/4/2022

Date