

# MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



**June 2020 Traffic Stop Data Inspection**

Inspection Report# BI2020-0074

**Background:**

The Audits and Inspections Unit (AIU) of the Bureau of Internal Oversight (BIO) inspects Traffic Stop Data collected in the TraCS system on a monthly basis. The purpose for the inspection is to ensure compliance with Office policies and to promote proper supervision. A total of 35 traffic stops are selected each month for review. To achieve inspection results the inspector will utilize the TraCS system, JWI, I-Net Viewer, Body-Worn Camera video, ([www.Evidence.com](http://www.Evidence.com)), and Communication Recordings. These entries will be uniformly inspected utilizing a matrix developed by the Bureau of Internal Oversight. Additionally, a JWI inquiry will be made on all deputies involved in the traffic stop to determine if a license and/or warrants check was run during the stop.

**Matrix Procedures:**

Utilize the *Traffic Stop Data Matrix* to inspect and ensure each traffic stop reviewed is within compliance with Office policies. Each Matrix Inspection Element is listed below in the results section of this report.

**Criteria:**

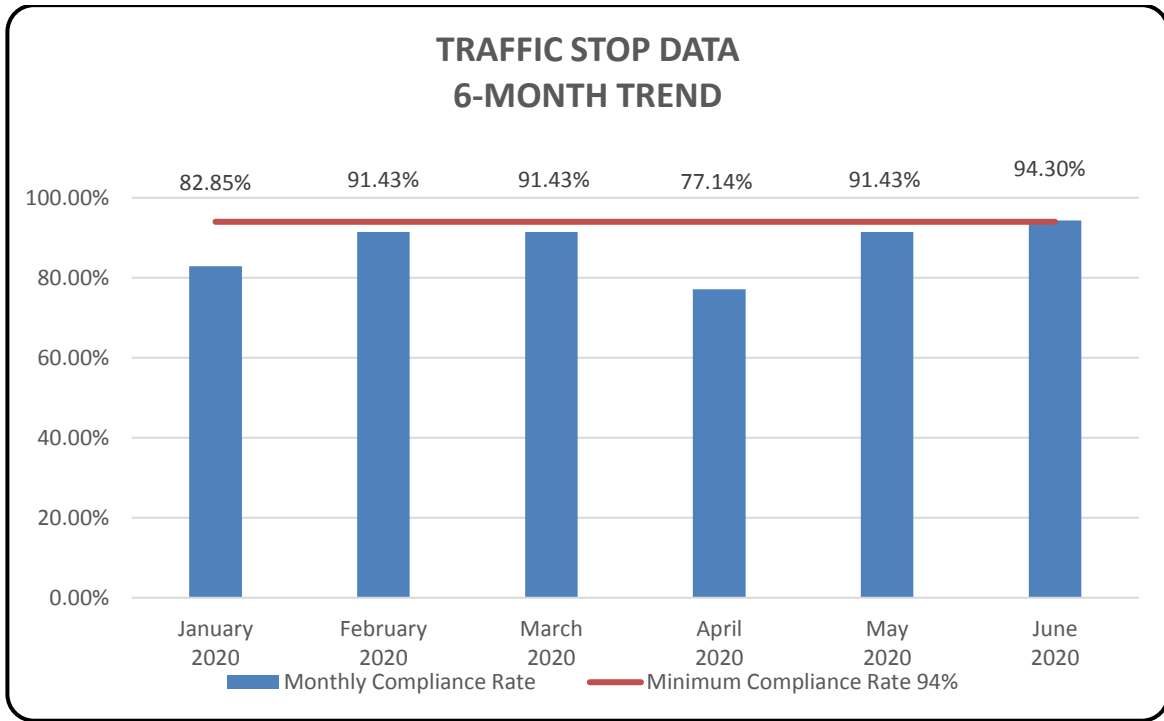
- MCSO Policy EA-3, Non-Traffic Contacts
- MCSO Policy EA-11, Arrest Procedures
- MCSO Policy EB-1, Traffic Enforcement, Violator Contracts, and Citation Issuance
- MCSO Policy EB-2, Traffic Stop Data Collection
- MCSO Policy EB-11, Vehicle Impound 3511
- MCSO Policy GE-3, Property Management and Evidence Control
- MCSO Policy GJ-3, Search and Seizure
- MCSO Policy GJ-35, Body Worn Cameras
- MCSO Policy GF-3, Criminal History Record Information and Public Records
- MCSO Policy CP-1, Use of Force
- MCSO Policy CP-2, Code of Conduct
- MCSO Policy CP-5, Truthfulness
- MCSO Policy CP-8, Preventing Racial and Other Bias Based Profiling
- Briefing Boards: 09-31, 14-12, 15-04, 17-14, 17-43, 18-12, 18-15

**Conditions:**

The MCSO reviewed 35 randomly selected traffic stops made during the month of June 2020. Additionally, 10 of the 35 were selected for Body-Worn Camera review. These traffic stops included 0 DUI (692), 0 Reckless Driving (693), and 0 Aggressive Driving (693R).

**Results:**

- 94.30% or 33 out of 35 traffic stops had no compliance deficiencies (33/35=94.30%)
- 32 out of 35 traffic stops had no deficiencies.
- The overall results were 2.87% increase in compliance percentage from the May 2020 inspection.



| Matrix Inspection Elements   | Not in Compliance | In Compliance | Total | Compliance Rate |
|--|-------------------|---------------|-------|-----------------|
| Event number matches all forms   | 0                 | 35            | 35    | 100%            |
| Incident report number matches on all applicable forms                 | 0                 | 35            | 35    | 100%            |
| Event type matches all forms and CAD                                   | 0                 | 35            | 35    | 100%            |
| Stop time and end time matches all applicable forms (Within 5 minutes) | 0                 | 35            | 35    | 100%            |
| Arrest documented in CAD and matches all applicable forms              | 0                 | 35            | 35    | 100%            |

|  |   |    |    |      |
|--|---|----|----|------|
| Arrest time documented in CAD and matches all applicable forms   | 0 | 35 | 35 | 100% |
| Transport time of arrestee matches CAD and all applicable forms  | 0 | 35 | 35 | 100% |
| Primary unit BWC active at time of stop  | 0 | 35 | 35 | 100% |
| Primary unit vehicle number and call sign match CAD  | 0 | 35 | 35 | 100% |
| 2 <sup>nd</sup> person in primary unit matches CAD and all applicable forms                                | 0 | 35 | 35 | 100% |
| 2 <sup>nd</sup> person in primary unit has active BWC and is documented in all applicable forms            | 0 | 35 | 35 | 100% |
| Additional units on scene match CAD and all applicable forms   | 1 | 34 | 35 | 97%  |
| Location of traffic stop match CAD and all applicable forms  | 0 | 35 | 35 | 100% |
| City/Area of violation and stop location match CAD and all applicable forms                                | 0 | 35 | 35 | 100% |
| Conclusion of contact (i.e. warning, citation, incidental contact form) match CAD and all applicable forms | 0 | 35 | 35 | 100% |
| Reason no action was taken matches CAD and documented as lawful  | 0 | 35 | 35 | 100% |
| Citation/Warning number matches VSCF   | 0 | 35 | 35 | 100% |
| Violators license plate matches CAD/VSCF/Citation/Warning  | 1 | 34 | 35 | 97%  |
| Number of occupants in violators vehicle is accurately documented on VSCF                                  | 0 | 35 | 35 | 100% |
| Contact with passengers made and reasoning for contact documented on VSCF                                  | 0 | 35 | 35 | 100% |
| Reason for stop documented in CAD and all applicable forms   | 0 | 35 | 35 | 100% |
| Was reason for stop documented on citation/warning   | 0 | 35 | 35 | 100% |
| Does violators information on citation/warning match VSCF  | 0 | 35 | 35 | 100% |
| Was immigration status questioned of violator or passengers  | 0 | 35 | 35 | 100% |
| Does pre stop and post stop race/ethnicity information match citation/warning and VSCF                     | 0 | 35 | 35 | 100% |
| Was search conducted of violator or vehicle and documented on VSCF and all applicable forms                | 0 | 35 | 35 | 100% |

|  |   |    |    |      |
|--|---|----|----|------|
| Were items seized as a result of the contact and documented on VSCF and all applicable forms | 0 | 35 | 35 | 100% |
| Was the stop extended and if so documented in CAD and VSCF and all applicable forms          | 0 | 35 | 35 | 100% |
| Was violator arrested and documented on VSCF and all applicable forms                        | 0 | 35 | 35 | 100% |
| Verify video was available   | 0 | 10 | 10 | 100% |
| Determine if deputy recorded the traffic stop in its entirety                                | 0 | 10 | 10 | 100% |
| Verify all information on traffic stop data forms match Body-Worn Camera video               | 0 | 10 | 10 | 100% |
| Review incident video footage to ensure deputies adhere to all MCSO Policies                 | 0 | 10 | 10 | 100% |
| Verify all forms are complete  | 0 | 35 | 35 | 100% |

The inspector found the following potential deficiencies during the inspection:

**District 1 (1 BIO Action Form):**

**Non-Compliance Deficiency**

| District/Division  | Incident Number | Sworn Employee | Current Supervisor | Current Commander |
|--|-----------------|----------------|--------------------|-------------------|
| District 1   | MC20123825      | Deputy         | Sergeant           | Captain           |
| <b>Deficiency</b>  |                 |                |                    |                   |
| Deputy did not provide a “self-introduction” to the violator upon initial contact. <b>(Policy EB-1.10.A)</b> |                 |                |                    |                   |

**District 6 (1 BIO Action Form):**

**Compliance Deficiency**

| District/Division  | Incident Number | Sworn Employee | Current Supervisor | Current Commander |
|--|-----------------|----------------|--------------------|-------------------|
| District 6   | MC20128340      | Deputy         | Sergeant           | Captain           |
| <b>Deficiency</b>  |                 |                |                    |                   |
| Deputy did not complete an Assisting Deputy & Body Worn Camera Log. <b>(Policy EB-2.2.D)</b> |                 |                |                    |                   |

Lakes (1 BIO Action Form):

### Compliance Deficiency

| District/Division   | Incident Number | Sworn Employee | Current Supervisor | Current Commander |
|---|-----------------|----------------|--------------------|-------------------|
| Lakes   | MC20113004      | Deputy         | Sergeant           | Captain           |
| Deficiency  |                 |                |                    |                   |
| Deputy stopped two motorcycles with consecutive license plates and documented one license plate on both VSCF's and Written Warnings. <b>(Policy EB-1.14.A.5)</b><br><b>Note:</b> BWC footage was viewed and the deputy documented one license plate for both violator vehicles on both written warnings and VSCF's. |                 |                |                    |                   |

Unless noted above in the deficiency table, there were no prior BIO Action Forms similar in nature for the perceived employee deficiencies.

**A total of 3 BIO Action Forms are due from the deficiencies detailed above. One BIO form per Employee or deficient Bureau is requested (not per deficiency).**

Date Inspection Started: July 10th, 2020  
 Date Completed: July 17th, 2020  
 Timeframe Inspected: June 1st, 2020 to June 30th, 2020  
 Assigned Inspector(s): Sgt. Roger T. Bierwalter #S1263

I have reviewed this inspection report.

*Lt. Todd Brice*

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 Lieutenant Todd Brice S1767  
 Deputy Commander, Audits and Inspections Unit  
 Bureau of Internal Oversight

8-4-2020

Date