

# MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



**October 2022 Traffic Stop Data Inspection**

Inspection Report# BI2022-0146

**Background:**

The Audits and Inspections Unit (AIU) of the Bureau of Internal Oversight (BIO) inspects Traffic Stop Data collected in the TraCS system on a monthly basis. The purpose for the inspection is to ensure compliance with Office policies and to promote proper supervision. A total of 35 traffic stops are selected each month for review. To achieve inspection results the inspector will utilize the TraCS system, JWI, I-Net Viewer, Body-Worn Camera video, ([www.Evidence.com](http://www.Evidence.com)), and Communication Recordings. These entries will be uniformly inspected utilizing a matrix developed by the Bureau of Internal Oversight. Additionally, a JWI inquiry will be made on all deputies involved in the traffic stop, if needed, to determine if a license and/or warrants check was run during the stop.

**Matrix Procedures:**

Utilize the *Traffic Stop Data Matrix* to inspect and ensure each traffic stop reviewed is within compliance with Office policies. Each Matrix Inspection Element is listed below in the results section of this report.

**Criteria:**

- MCSO Policy EA-3, Non-Traffic Contacts
- MCSO Policy EA-11, Arrest Procedures
- MCSO Policy EB-1, Traffic Enforcement, Violator Contracts, and Citation Issuance
- MCSO Policy EB-2, Traffic Stop Data Collection
- MCSO Policy EB-11, Vehicle Impound 3511
- MCSO Policy GE-3, Property Management and Evidence Control
- MCSO Policy GJ-3, Search and Seizure
- MCSO Policy GJ-35, Body Worn Cameras
- MCSO Policy GF-3, Criminal History Record Information and Public Records
- MCSO Policy CP-1, Use of Force
- MCSO Policy CP-2, Code of Conduct
- MCSO Policy CP-5, Truthfulness
- MCSO Policy CP-8, Preventing Racial and Other Bias Based Profiling
- Briefing Boards: 09-31, 14-12, 15-04, 17-14, 17-43, 18-12, 18-15

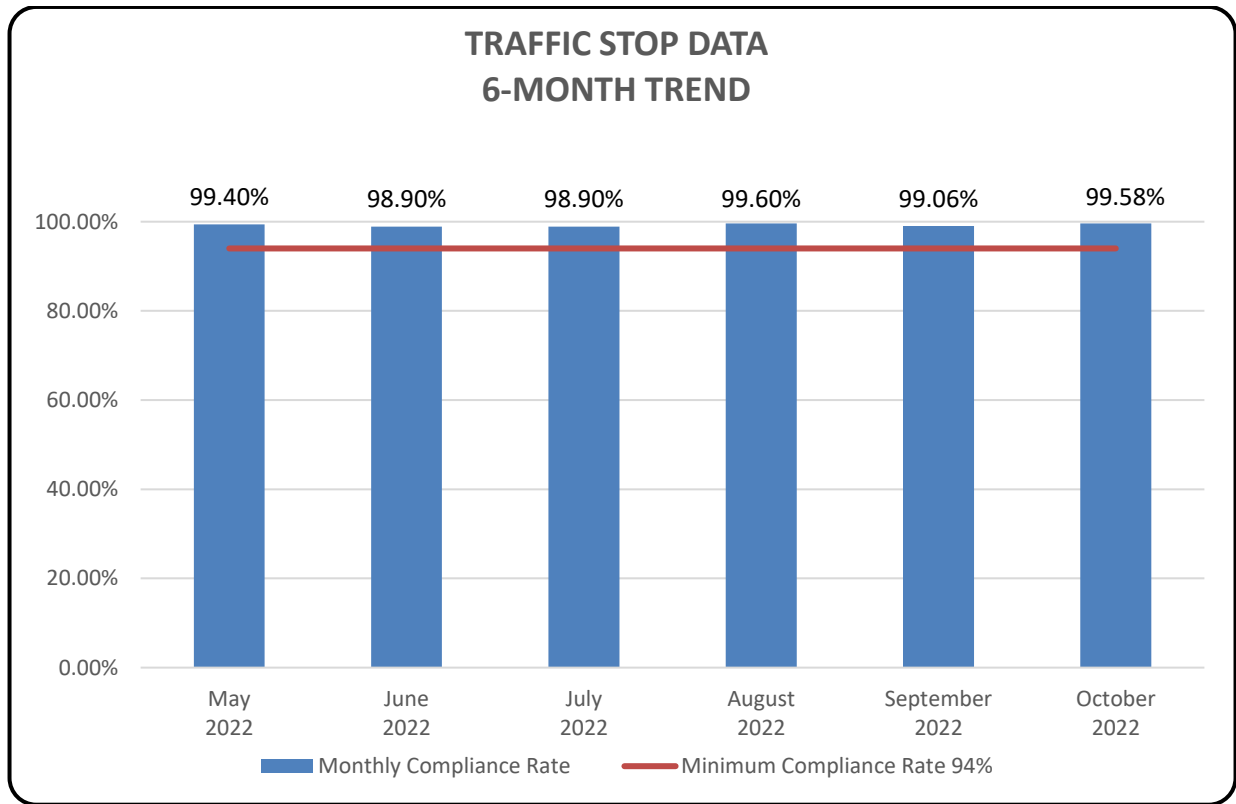
**Conditions:**

The MCSO reviewed 35 randomly selected traffic stops made during the month of October 2022. Additionally, 10 of the 35 were selected for Body-Worn Camera review.

**Results:**

Each Traffic Stop will be counted as one inspection and all applicable matrix criteria inspection points will factor into an over-all compliance score. Example: If one Traffic Stop contains 37 inspection points (6 not applicable) and 2 deficiencies it will count as one Traffic Stop Inspection with a compliance score of 94.00%. The over-all compliance score for the monthly inspection will be the average score of the 35 stops reviewed.

The compliance rates of the provided traffic stop sample, utilizing the 37-inspection criterion, resulted in an overall average compliance rate of **99.58%** for October of 2022, as illustrated in the graph below.



Matrix Inspection Elements	Not in Compliance	In Compliance	Total	Compliance Rate
Event number matches all forms	0	35	35	100%
Incident report number matches on all applicable forms	0	35	35	100%
Event type matches all forms and CAD	0	35	35	100%

Stop time and end time matches all applicable forms (Within 5 minutes)	0	35	35	100%
Arrest documented in CAD and matches all applicable forms	0	35	35	100%
Arrest time documented in CAD and matches all applicable forms	0	35	35	100%
Transport time of arrestee matches CAD and all applicable forms	0	35	35	100%
Primary unit BWC active at time of stop per VSCF	0	35	35	100%
Primary unit vehicle number and call sign match CAD	2	33	35	94%
2 <sup>nd</sup> person in primary unit matches CAD and all applicable forms	0	35	35	100%
2 <sup>nd</sup> person in primary unit has active BWC and is documented in all applicable forms	0	35	35	100%
Additional units on scene match CAD and all applicable forms	1	34	35	97%
Location of traffic stop match CAD and all applicable forms	0	35	35	100%
City/Area of violation and stop location match CAD and all applicable forms	0	35	35	100%
Conclusion of contact (i.e. warning, citation, incidental contact form) match CAD disposition and all applicable forms	0	35	35	100%
Reason no action was taken matches CAD and documented as appropriate	0	35	35	100%
Citation/Warning number matches VSCF	0	35	35	100%
Violators license plate matches CAD/VSCF/Citation/Warning	0	35	35	100%
Number of occupants in violators vehicle is accurately documented on VSCF	0	35	35	100%
Contact with passengers made and reasoning for contact documented on VSCF	0	35	35	100%
Reason for stop documented in CAD and all applicable forms	0	35	35	100%
Was reason for stop documented on citation/warning	0	35	35	100%

Does violators information on citation/warning match VSCF	0	35	35	100%
Was immigration status questioned of violator or passengers	0	35	35	100%
Does pre stop and post stop race/ethnicity information match citation/warning and VSCF	0	35	35	100%
Was search conducted of violator or vehicle and documented on VSCF and all applicable forms	1	34	35	97%
Were items seized as a result of the contact and documented on VSCF and all applicable forms	0	35	35	100%
Was the stop extended and if so documented in CAD and VSCF and all applicable forms	0	35	35	100%
Was violator arrested and documented on VSCF and all applicable forms	0	35	35	100%
Was incidental contact form completed when appropriate	0	35	35	100%
Verify video was available	0	10	10	100%
Determine if deputy recorded the traffic stop in its entirety	0	10	10	100%
Verify all information on traffic stop data forms match Body-Worn Camera video	0	10	10	100%
Determine if camera was activated when decision to make the stop was made	0	10	10	100%
Review incident video footage to ensure deputies adhere to all MCSO Policies	0	10	10	100%
Verify all forms are complete	0	35	35	100%

The inspector found the following potential deficiencies during the inspection:

District 1 (2 BIO Action Forms):

Non-Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 1	MC22172316	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Deputy seized the license plate of the violator’s vehicle without citing for ARS 28-4139. <b>(Policy EB-1.13.A.1.b.1)</b>				

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 1	MC22183160	Lieutenant	Captain	Captain
<b>Deficiency</b>				
<ul style="list-style-type: none"> <li>Sergeant documented an “Inventory Search” on the VSCF for the violator’s vehicle when the vehicle was released to the registered owner. <b>(Policy GJ-3.8.G)</b></li> <li>Sergeant did not provide a copy of the written warning to the violator. <b>(Policy EB-1.12)</b></li> </ul> <p><b>Note:</b> BWC shows sergeant retrieving items from the violators vehicle that were requested by the violator before being transported for an outstanding warrant. No further search of the vehicle was conducted.</p> <p><b>Note:</b> BWC shows the sergeant never provided a copy of the written warning to the violator before being transported for an outstanding warrant. The signature line on the written warning is blank.</p> <p style="text-align: center;"><b>**These deficiencies occurred while the employee was a Sergeant in Lake Patrol**</b></p> <p style="text-align: center;"><b>**Employee has 1 previous BAF for Traffic Stop Data Inspection (BAF2022-0204)**</b></p>				

District 2 (2 BIO Action Forms):

Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 2	MC22180017	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Deputy incorrectly documents MCSO patrol vehicle number on VSCF. <b>(Policy EB-1.14)</b>				
<b>Note:</b> BWC was viewed to verify MCSO vehicle number.				

Non-Compliance Deficiencies

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 2	MC22177213	Deputy	Sergeant	Captain
<b>Deficiency</b>				
<ul style="list-style-type: none"> <li>• BWC was not placed in event mode as soon as the decision to stop a vehicle was made. <b>(Policy EB-1.9.A)</b></li> <li>• Deputy did not conduct license/warrants check on violator. <b>(Policy EB-1.10.D)</b></li> <li>• Deputy did not provide self-introduction upon initial contact with violator. <b>(Policy EB-1.10.A)</b></li> <li>• Deputy documents assisting deputy as 2<sup>nd</sup> person in primary vehicle. <b>(Policy EB-1.14)</b></li> </ul> <p><b>Note:</b> BWC starts are deputy is exiting his vehicle.</p>				

District 3 (1 BIO Action Form):

Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 3	MC22174564	Deputy	Sergeant	Captain
<b>Deficiency</b>				
<p>Deputy did not complete an Assisting Deputy &amp; Body Worn Camera Log. <b>(Policy EB-2.2.E)</b>  <b>**Employee has one previous BAF for Traffic Stop Data Inspection (BAF2021-0323)**</b></p>				

District 4 (1 BIO Action Form):

Non-Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 4	MC22187133	Deputy	Sergeant	Captain
<b>Deficiency</b>				
<p>Deputy did not conduct license/warrants check on violator. <b>(Policy EB-1.10.D)</b></p>				

Lakes (1 BIO Action Form):

Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
Lakes	MC22175794	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Deputy incorrectly documents MCSO patrol vehicle number on VSCF. (Policy EB-1.14) <b>Note:</b> BWC was viewed to verify MCSO vehicle number. <b>**Employee has 1 previous BAF for Traffic Stop Data Inspection (BAF2021-0394)**</b>				

Unless noted above in the deficiency table, there were no prior BIO Action Forms similar in nature for the perceived employee deficiencies.

**A total of 7 BIO Action Forms are due from the deficiencies detailed above. One BIO form per Employee or deficient Bureau is requested (not per deficiency).**

Date Inspection Started: November 8<sup>th</sup>, 2022  
 Date Completed: November 21<sup>st</sup>, 2022  
 Timeframe Inspected: October 1<sup>st</sup>, 2022 to October 31<sup>st</sup>, 2022  
 Assigned Inspector(s): Sgt. R. T. Bierwalter S1263

I have reviewed this inspection report.

Lt. Brian Arthur  
 Lieutenant Timothy Arthur S1806  
 Commander, Audits and Inspections Unit  
 Bureau of Internal Oversight

12/8/2022  
 Date