

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight
Audits and Inspections Unit



Detention Supervisor Note Inspection September 2024

Inspection Report# BI2024-0134

The Audits and Inspections Unit (AIU) of the Bureau of Internal Oversight (BIO) will conduct Blue Team Supervisor Note entry inspections monthly. The purpose of the inspection is to ensure compliance with Office policies and to promote proper supervision. To achieve this, inspectors will select for review the Supervisor Note entries for 35 randomly selected Detention personnel for the month being inspected. To ensure consistent inspections, the Supervisor Note Inspection Matrix developed by the AIU will be utilized.

Compliance Objectives:

Utilize the Supervisor Note Inspection Matrix, review each selected employee’s EIS information to ensure they received the following during September 2024:

- Ensure the supervisor completed a minimum of one Supervisor Note entry
- Ensure the supervisor completed one performance-based entry
- Ensure the supervisor conducted a review of EIS data

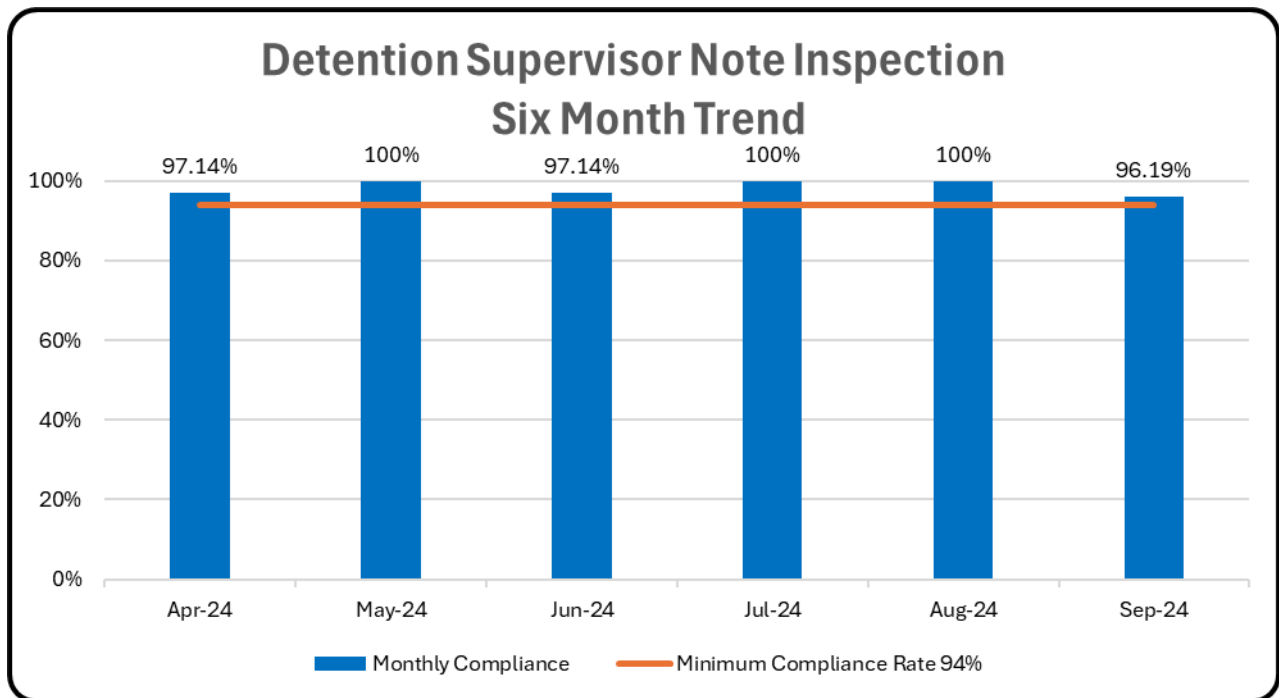
Criteria:

MCSO Policy GB-2, *Command Responsibility*

Conditions:

A review of the IAPro records revealed that, of the 35 employees’ records reviewed for Supervisor Note entries submitted during September, 33 employees received all entries which contained the required elements of this inspection and in compliance with MCSO Policy GB-2, *Command Responsibility*.

MCSO achieved a compliance rate of **96.19%** in the Detention Supervisor Note inspection for September 2024, as illustrated in the graph below:



Results of the Review of Employee Supervisor Note entries:

Inspection Element	Not In Compliance	In Compliance	Total Inspected	Compliance Rate
Once per month, supervisors review non-sworn subordinates' EIS information for the purpose of identifying and responding to any conduct patterns or concerns	2	33	35	94.29%
Employee Received at least one Supervisor Note during the month	1	34	35	97.14%
Supervisor Note entry is of sufficient quality to facilitate the preparation of an accurate and detailed performance review and reflects the employee's positive traits and accomplishments and any observed shortcomings	1	34	35	97.14%
Overall compliance with inspection requirements	4	101	105	96.19%

5124 - Towers Jail (2 BIO Action Forms):

Compliance Deficiency

Division	Employee Notes Inspected	Employee Supervisor	Division Commander
Towers Jail	Detn Off	Detn Off Sgt	Detn Off Cpt
Deficiency			
1. Minimum requirement for one Supervisor Note entry not met. Policy GB-2.7.B.1 2. Employee Performance is not documented. Policy GB-2.7.B.1 3. Review of Employee's EIS information not documented. Policy GB-2.7.B.4			
Division	Employee Notes Inspected	Employee Supervisor	Division Commander
Towers Jail	Detn Off	Detn Off Sgt	Detn Off Cpt
Deficiency			
1. Review of Employee's EIS information not documented. Policy GB-2.7.B.4			

Action Required:

With the resulting **96.19%** compliance for *Inspection BI2024-0134*, a total of 2 BIO Action Forms are requested from the affected divisions. The form shall be completed utilizing Blue Team.

Notes:

All supporting documentation (working papers) is included in the inspection file number *BI2024-0134* and contained within IA Pro.

Date Inspection Started: October 02, 2024
Date Completed: October 10, 2024
Timeframe Inspected: September 01-30, 2024
Assigned Inspector(s): Sr. Internal Auditor Specialized Kateryna A. Ellis B4299

I have reviewed this inspection report.

Capt. Dominick Reaulo

10/30/2024

Captain D. Reaulo S1678
Division Commander
Bureau of Internal Oversight

Date