

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



TraCS Discussion Inspection August 2019

Inspection Report# BI2019-0137

The Audits and Inspections Unit (AIU) of the Sheriff’s Office Bureau of Internal Oversight (BIO) will conduct monthly inspections of the TraCS Discussion of traffic stops to ensure compliance with Office Policies, promote proper supervision and support the requirements set forth in the Court Order. Inspectors will utilize the TraCS System and a sample of randomly selected employees from each district/division for review. The TraCS Traffic Stop Discussion will be uniformly inspected utilizing a matrix developed by the AIU, in accordance with the procedures outlined in policies EA-11, EB-1, GB-2 and MCSO Administrative Broadcast 16-56.

Compliance Objectives:

- Determine what district/division the traffic stop data originated from
- Verify the supervisor individually discussed each traffic stop completed within a monthly time frame
- Each Traffic Stop inspected will be counted as one inspection

Criteria:

MCSO Policy EA-11, *Arrest Procedures (Section 14, Part E)*

MCSO Policy EB-1, *Traffic Enforcement, Violator Contacts, and Citation Issuance (Section 17)*

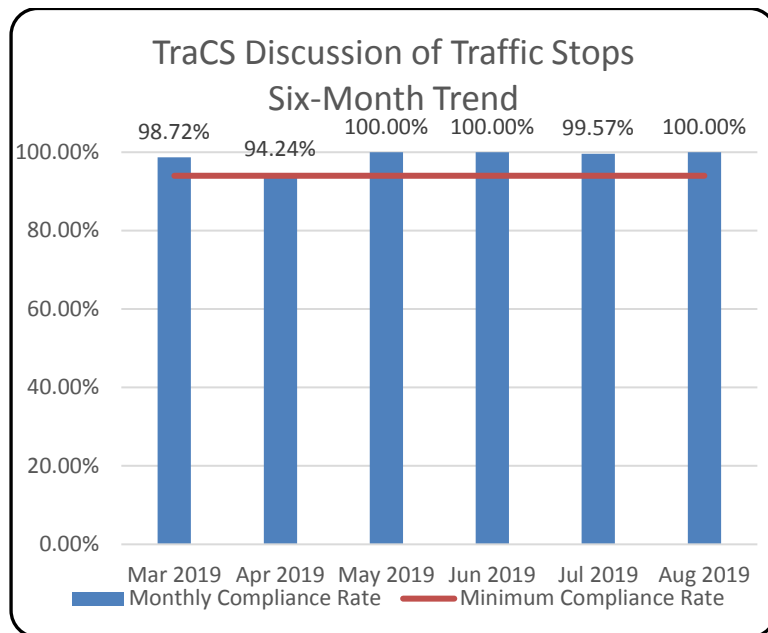
MCSO Policy GB-2, *Command Responsibility (Section 13, Parts E.1.a, E.1.b and E.1.c)*

MCSO Administrative Broadcast # 16-56, *Discussed with Deputy Indicator and Supervisor Review Process*

Conditions:

MCSO’s assigned Court Monitors provided a sample of 30 Deputies from all Patrol Districts/Divisions for the Discussion Inspection covering data from August 2019. The sample of 30 Deputies provided a total of 206 traffic stops available for inspection; all 206 (or 100%) of the traffic stops were inspected.

MCSO achieved a compliance rate of 100% in the Discussion of Traffic Stops for the month of August 2019, as illustrated in the table below:



Note – The compliance rate is the overall percentage of all traffic stops inspected for the month of August 2019 (i.e., out of a total of 206 stops, 206 were without deficiencies, or were 100% in compliance).

The compliance rate for each division is illustrated in the table below:

Division	District 1	District 2	District 3	District 4	Lake Patrol	District 6	District 7
In Compliance	2	44	12	20	26	65	37
Total Stops	2	44	12	20	26	65	37
Compliance %	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%

It should be noted that the completion of a Discussion Inspection is dependent on when AIU receives the sample from the Court Monitors.

No deficiencies were noted during this inspection period.

Action Required:

With the resulting 100% compliance rate for Inspection #BI2019-0137, no BIO Action Forms are required.

Recommendations:

It is recommended the inspection of discussion of traffic stops and vehicle stop contact forms continue at the Division level to provide assurance that the compliance rates continue to show improvement and remain constant over time. To accomplish this goal, the following criteria must be met:

1. **On a monthly basis**, supervisors shall be required to discuss individually the traffic stops made by each deputy they supervise, no less than one time per month.

It is also recommended that each division utilize the TraCS system to monitor the discussion status of traffic stops. This can be accomplished by conducting a search of the following fields (1) Location (Lower Org), (2) Form (Contact & Incidental Contact Forms) and (3) Date Range (Example: December 1-31, 2016). The search results will display a list of the contact forms and note "Discussed" under the Deputy Discussion column for those with completed discussions. By also completing the User ID search field supervisors can view the same data for the deputies under their direct supervision by selecting their serial numbers.

Date Inspection Started: 10/23/2019
 Date Completed: 10/23/2019
 Timeframe Inspected: August 1st – 31st, 2019
 Assigned Inspector(s): Patty Huling # B3184

I have reviewed this inspection report.

Lt. D. Reaulo S1678
 Lieutenant Dominick Reaulo S1678
 Commander, Audits & Inspections Unit
 Bureau of Internal Oversight

10/29/2019
 Date