

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight
Audits and Inspections Unit



TraCS Discussion Inspection November 2019

Inspection Report# BI2019-0181

The Audits and Inspections Unit (AIU) of the Sheriff’s Office Bureau of Internal Oversight (BIO) will conduct monthly inspections of the TraCS Discussion of traffic stops to ensure compliance with Office Policies, promote proper supervision and support the requirements set forth in the Court Order. Inspectors will utilize the TraCS System and a sample of randomly selected employees from each district/division for review. The TraCS Traffic Stop Discussion will be uniformly inspected utilizing a matrix developed by the AIU, in accordance with the procedures outlined in policies EA-11, EB-1, GB-2 and MCSO Administrative Broadcast 16-56.

Compliance Objectives:

- Determine what district/division the traffic stop data originated from
- Verify the supervisor individually discussed each traffic stop completed within a monthly time frame
- Each Traffic Stop inspected will be counted as one inspection

Criteria:

MCSO Policy EA-11, *Arrest Procedures (Section 14, Part E)*

MCSO Policy EB-1, *Traffic Enforcement, Violator Contacts, and Citation Issuance (Section 17)*

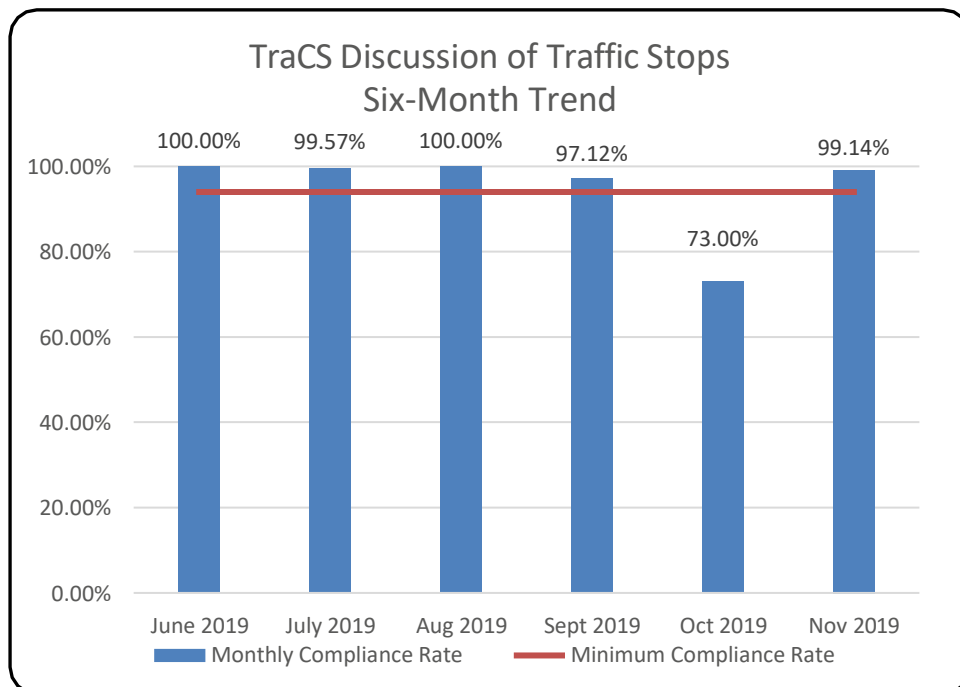
MCSO Policy GB-2, *Command Responsibility (Section 13, Parts E.1.a, E.1.b and E.1.c)*

MCSO Administrative Broadcast # 16-56, *Discussed with Deputy Indicator and Supervisor Review Process*

Conditions:

MCSO’s assigned Court Monitors provided a sample of 34 Deputies from all Patrol Districts/Divisions for the Discussion Inspection covering data from November 2019. The sample of 34 Deputies provided a total of 233 traffic stops available for inspection; all 233 (or 100%) of the traffic stops were inspected.

MCSO achieved a compliance rate of 99.14% in the Discussion of Traffic Stops for the month of November 2019, as illustrated in the table below:



Note – The compliance rate is the overall percentage of all traffic stops inspected for the month of November 2019 (i.e., out of a total of 233 stops, 231 were without deficiencies, or were 99.14% in compliance).

The compliance rate for each division is illustrated in the table below:

Division	District 1	District 2	District 3	District 4	Lake Patrol	District 6	District 7
In Compliance	90	35	6	20	36	26	18
Total Stops	90	35	6	21	37	26	18
Compliance %	100.00%	100.00%	100.00%	95.24%	97.30%	100.00%	100.00%

It should be noted that the completion of a Discussion Inspection is dependent on when AIU receives the sample from the Court Monitors.

The following deficiencies were noted during the inspection period:

District 4 (1 BIO Action Form)

District/Division	MC #	Employee	Current Supervisor	Current Commander
District Four	Redacted	Sergeant	Lieutenant	Captain
Deficiency				
Supervisor did not discuss Contact Form with Deputy within 30 days. Policies, EB-1, GB-2 & Admin. Broadcast 16-56.				
Inspector Note: Per TraCS, form has never been discussed.				

Lake Patrol (1 BIO Action Form)

District/Division	MC #	Employee	Current Supervisor	Current Commander
Lake Patrol	Redacted	Sergeant	Lieutenant	Captain
Deficiency				
Supervisor did not discuss Contact Form with Deputy within 30 days. Policies, EB-1, GB-2 & Admin. Broadcast 16-56.				
Inspector Note: Nothing noted in TraCS regarding late review.				

Unless noted above in the deficiency table, there were no prior BIO Action Forms similar in nature or supervisor notes addressing the perceived deficiencies within the last year.

Action Required:

With the resulting **99.14%** compliance rate for Inspection #BI2019-0181, **2 BIO Action Forms** are required. The form shall be completed utilizing Blue Team.

Recommendations:

It is recommended the inspection of discussion of traffic stops and vehicle stop contact forms continue at the Division level to provide assurance that the compliance rates continue to show improvement and remain constant over time. To accomplish this goal, the following criteria must be met:

1. **On a monthly basis**, supervisors shall be required to discuss individually the traffic stops made by each deputy they supervise, no less than one time per month.

It is also recommended that each division utilize the TraCS system to monitor the discussion status of traffic stops. This can be accomplished by conducting a search of the following fields (1) Location (Lower Org), (2) Form (Contact & Incidental Contact Forms) and (3) Date Range (Example: December 1-31, 2016). The search results will display a list of the contact forms and note "Discussed" under the Deputy Discussion column for those with completed discussions. By also completing the User ID search field supervisors can view the same data for the deputies under their direct supervision by selecting their serial numbers.

Date Inspection Started: 1/23/2020
Date Completed: 1/27/2020
Timeframe Inspected: November 1st – 30th, 2019
Assigned Inspector: Sgt. Rob Levy S1881

I have reviewed this inspection report.

Lt. D. Reaulo S1678
Lieutenant Dominick Reaulo S1678
Commander, Audits & Inspections Unit
Bureau of Internal Oversight

2/10/2020
Date