

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight
Audits and Inspections Unit



Civilian Supervisor Note Inspection October 2020

Inspection Report# BI2020-0127

The Audits and Inspections Unit (AIU) of the Bureau of Internal Oversight (BIO) will conduct Blue Team Supervisor Note entry inspections on a monthly basis. The purpose of this inspection is to ensure compliance with Office Policies and to promote proper supervision. To achieve this, inspectors will select for review the Supervisor Note entries for 35 randomly selected civilian employees for the month being inspected. To ensure consistent inspections, the Supervisor Note Inspection Matrix developed by the AIU will be utilized.

Compliance Objectives:

Utilize the Supervisor Note Inspection Matrix, review each selected employee’s EIS information to ensure they received the following during October 2020:

- Ensure the supervisor completed one Supervisor Note entry
- Ensure the supervisor completed one performance-based entry
- Ensure the supervisor conducted a review of the employee’s EIS information

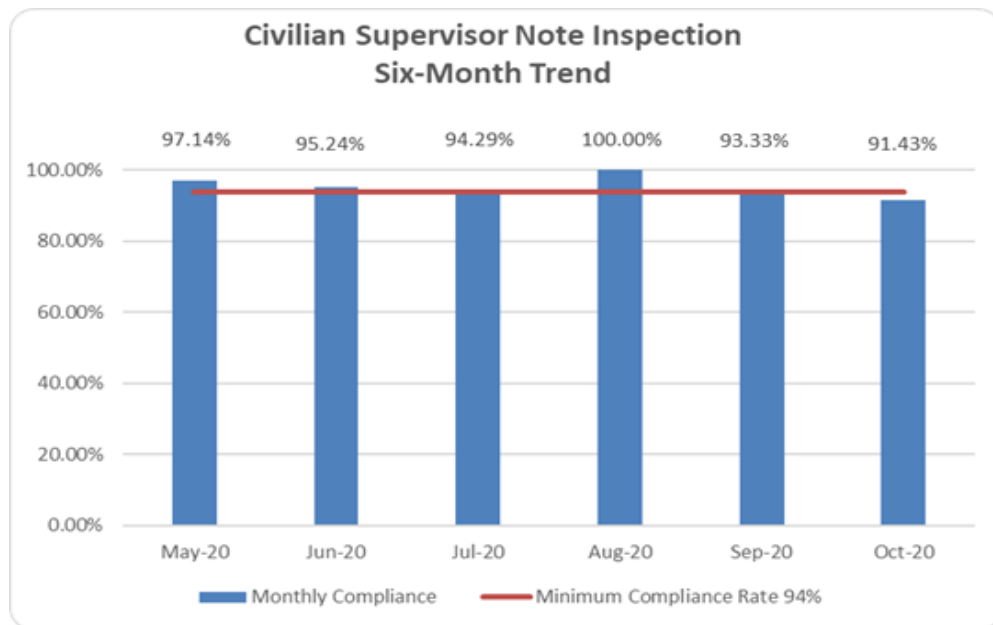
Criteria:

MCSO Policy GB-2, *Command Responsibility*

Conditions:

A review of the IAPro records revealed that, of the 35 employees’ records reviewed for Supervisor Note entries submitted during the month of October, 32 employees received entries that contained the required elements of this inspection and were in compliance with MCSO Policy GB-2, *Command Responsibility*.

MCSO achieved a compliance rate of 91.43% in the Civilian Supervisor Note inspection for October 2020, as illustrated in the graph below:



Results of the Review of Employee Supervisor Note entries:

Inspection Element	Not In Compliance	In Compliance	Total Inspected	Compliance Rate
Employee Received at least one Supervisor Note during the month	3	32	35	91.43%
Supervisor Note entry is of sufficient quality to facilitate the preparation of an accurate and detailed performance review and reflects the employee’s positive traits and accomplishments and any observed shortcomings	3	32	35	91.43%
Once per month, supervisors review non-sworn subordinates’ EIS information for the purpose of identifying and responding to any conduct patterns or concerns	3	32	35	91.43%
Overall Compliance with inspection requirements	9	96	105	91.43%

The following deficiencies were identified during the inspection process.

Technology Management Bureau (1 BIO Action Form)

District/Division	Employee Notes Inspected	Responsible Supervisor	Current Commander
Technology Bureau	Civilian	Chief	Chief
Deficiency			
-Minimum requirement for one Supervisor Note entry not met. Policy GB-2.7.B.1 -Employee Performance is not documented. Policy GB-2.7.B.1 -Review of Employee’s EIS information not documented. Policy GB-2.7.B.4			
District/Division	Employee Notes Inspected	Responsible Supervisor	Current Commander
IT Infrastructure & Security	Civilian	Chief	Chief
Deficiency			
-Minimum requirement for one Supervisor Note entry not met. Policy GB-2.7.B.1 -Employee Performance is not documented. Policy GB-2.7.B.1 -Review of Employee’s EIS information not documented. Policy GB-2.7.B.4			

Occupational Safety (1 BIO Action Form)

District/Division	Employee Notes Inspected	Responsible Supervisor	Current Commander
Occupational Safety	Civilian	Sergeant	Lieutenant
Deficiency			
-Minimum requirement for one Supervisor Note entry not met. Policy GB-2.7.B.1 -Employee Performance is not documented. Policy GB-2.7.B.1 -Review of Employee’s EIS information not documented. Policy GB-2.7.B.4			

Unless noted above in the deficiency table, there were no prior BIO Action Forms similar in nature or supervisor notes addressing the deficiencies.

Action Required:

With the resulting **91.43%** compliance for *Inspection BI2020-0127*, a total of **2** BIO Action Forms are requested from the affected divisions. The form shall be completed utilizing Blue Team.

Notes:

All supporting documentation (working papers) is included in the inspection file number *BI2020-0127* and contained within IA Pro.

Date Inspection Started: November 03, 2020
Date Completed: November 30, 2020
Timeframe Inspected: October 01-31, 2020
Assigned Inspector: Ronda Jamieson B3178

I have reviewed this inspection report.

Lt. Todd Brice

11-30-20

Lt. Todd Brice S1767
Commander, Audits & Inspections Unit
Bureau of Internal Oversight

Date