

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



August 2017 TraCS Traffic Stop Discussion Inspection Report

Inspection Report# BI2017-0134

The Audits and Inspections Unit (AIU) of the Sheriff's Office Bureau of Internal Oversight (BIO) will conduct on going, monthly inspections of the TraCS Discussion to ensure compliance with Office Policies, promote proper supervision and support the requirements set forth in the Court Order. Inspectors will utilize the TraCS System and a sample of randomly selected employees from each district/division for review. The TraCS Traffic Stop Discussion will be uniformly inspected utilizing a matrix developed by the AIU, in accordance with the procedures outlined in policies EA-11, EB-1, GB-2 and MCSO Administrative Broadcast 16-56.

Matrix Procedures:

- Determine what district/division the traffic stop data originated from
- Verify the supervisor individually discussed each traffic stop completed
- Each Traffic Stop inspected will be counted as one inspection

Criteria:MCSO Policy # EA-11, ARREST PROCEDURES (Section 14, Part E):

"Supervisors shall take appropriate action to address all violations or deficiencies in investigatory stops or detentions, including non-disciplinary corrective action for the deputy; or referring the incident for administrative review or criminal investigation. Supervisors shall track, through the Early Identification System (EIS), each deputy's deficiencies or violations and the corrective action taken, in order to identify deputies who need repeated corrective action."

MCSO Policy # EB-1, TRAFFIC ENFORCEMENT, VIOLATOR CONTACTS, AND CITATION ISSUANCE (Section 16):

"First line supervisors shall individually discuss the traffic stops made by each deputy under their supervision at least one time per month. The discussion shall include whether the deputy detained any individuals and the reason for such detention, and whether any stops involved immigration issues."

MCSO Policy # GB-2, Command Responsibility (Section 12, Parts E1 and E1b):

"supervisors shall conduct monthly reviews and have monthly discussions with the deputies under their command regarding each traffic stop and collected data and generated during the review period."

"Supervisors shall acknowledge the interactions through the use of the "Discussed with Deputy" indicator function within the TraCS Form Manager for each individual stop and associated forms discussed with their deputy."

MCSO Administrative Broadcast # 16-56 Discussed with Deputy Indicator and Supervisor Review Process

Supervisors shall open and review their deputy's traffic stop data for each stop; and only after having a verbal interaction with the deputy, apply the "Discussed with Deputy" indicator function for the traffic stop just discussed. Supervisors shall on a monthly basis, memorialize these discussions by utilizing the "Discussed with Deputy" indicator function in the TraCs Forms Manager. Supervisors are prohibited from selecting multiple traffic stops and applying the "Discussed with Deputy" indicator function at one time.

Conditions:

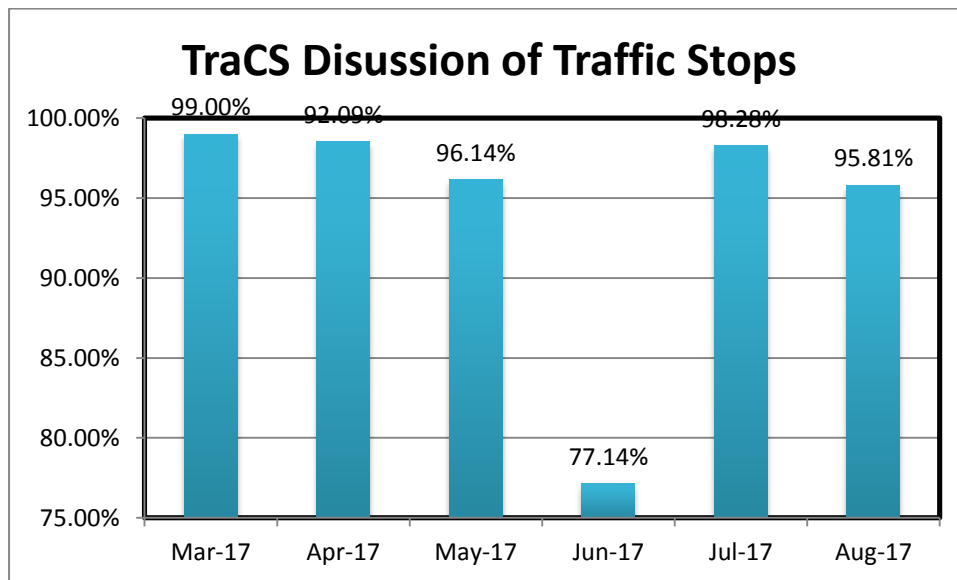
MCSO’s assigned Court Monitors provided a sample of 37 Deputies from all Patrol Districts/Divisions for the TraCS Discussion Inspection covering data from August 2017. The sample of 37 Deputies provided a total of 210 traffic stops available for inspection; 210 or 100% of the traffic stops were inspected. The compliance rate of each division is illustrated in the table below:

District	Compliance Rate	Total Traffic Stops Inspected
1	100.00%	4
2	93.75%	32
3	76.92%	26
4	100.00%	10
5	100.00%	42
6	100.00%	17
7	100.00%	79

It should be noted that the completion of a TraCS Discussion Inspection is dependent on when AIU receives the sample from the Court Monitors (which may be 30 or more days in arrears).

MCSO achieved a compliance rate of **95.81%** in the TraCS Discussion of Traffic Stops for the month of August 2017, as illustrated in the graph below:

Note – The overall compliance rate is an average of compliance scores from each division inspected.



The following potential deficiencies were identified during the inspection period:

Potential Deficiencies				
District	MC#	Employee:	Commander:	Comment
District 2	MC17202161	Sergeant	Captain	Supervisor did not discuss Contact Form with Deputy
District 2	MC17202784	Sergeant	Captain	Supervisor did not discuss Contact Form with Deputy
Potential Deficiencies				
District	MC#	Employee:	Commander:	Comment
District 3	MC17195598	Sergeant	Captain	Supervisor did not discuss Contact Form with Deputy
District 3	MC17195407	Sergeant	Captain	Supervisor did not discuss Contact Form with Deputy
District 3	MC17206253	Sergeant	Captain	Supervisor did not discuss Contact Form with Deputy
District 3	MC17206130	Sergeant	Captain	Supervisor did not discuss Contact Form with Deputy
District 3	MC17206867	Sergeant	Captain	Supervisor did not discuss Contact Form with Deputy
District 3	MC17206929	Sergeant	Captain	Supervisor did not discuss Contact Form with Deputy

A total of **2** BIO Action Forms is requested from the affected division. **The forms shall be completed utilizing Blue Team.** It is permissible to complete one BIO Action Form for a supervisor covering multiple potential deficiencies for the same employee identified in this inspection.

Recommendations:

It is recommended that the inspection of discussion of traffic stops continue at the Division level to provide assurance that the compliance rates continue to show improvement and remain constant over time. To accomplish this goal, the following criteria must be met:

1. **On a monthly basis**, supervisors shall be required to discuss individually the traffic stops made by each deputy they supervise, no less than one time per month.

It is also recommended that each division utilize the TraCS system to monitor the discussion status of traffic stops. This can be accomplished by conducting a search of the following fields (1) Location (Lower Org), (2) Form (Contact & Incidental Contact Forms) and (3) Date Range (Example: December 1-31, 2016). The search results will display a list of the contact forms and note "Discussed" under the Deputy Discussion column for those with completed discussions. By also completing the User ID search field supervisors can view the same data for the deputies under their direct supervision by selecting their serial numbers.

Date Inspection Started: November 20, 2017
Date Completed: November 20, 2017
Timeframe Inspected: August 1st through 31st, 2017
Assigned Inspector(s): Sergeant K. Bocardo S1775

I have reviewed this inspection report.

Larry Kratzer
Lieutenant Larry Kratzer S1520
Commander, Audits & Inspections Unit
Bureau of Internal Oversight

11/20/17

Date

Captain G. Lugo #1480
Captain Greg Lugo S1480
Commander
Bureau of Internal Oversight

11/27/2017

Date